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Kinetics of alumina segregation in mullite ceramics

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Dedicated to Prof. Hartmut Schneider on the occasion of his 65th birthday.

Abstract

Dense polycrystalline mullite was equilibrated for 6 h in air at 1800 °C and then quenched to room temperature. During subsequent annealing at 1600 °C a gradual decrease of the Al_2O_3 concentration in the grains occurs which approaches an equilibrium concentration after about 100 h annealing time. A simplified model of spherical grains of uniform size is applied to describe the observed kinetics of the Al_2O_3 concentration decrease in the mullite grains. This model allows to determine a chemical diffusion coefficient of Al_2O_3 from the measured kinetics data. This chemical diffusion coefficient of Al_2O_3 is compared to the ambipolar diffusion coefficient of Al_2O_3 calculated from our tracer diffusivity data in single crystalline 2/1-mullite. The resulting thermodynamic factor is in reasonable agreement with the value calculated from literature data for mullite formation in a solid state reaction.

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1. Introduction

Mullite is a promising and widely studied material for high temperature applications. The composition of mullite can be expressed as $Al^{VI}_{2}(Al^{IV}_{2+2x}Si_{2-2x})O_{10-x}$ with x ranging between 0.18 and 0.88.¹ Typical mullite compositions, however, are between x = 0.25 (3Al₂O₃·2SiO₂, 3/2-mullite) and x = 0.4(2Al₂O₃·1SiO₂, 2/1-mullite). As a matter of principle most high temperature effects of mullite ceramics (diffusional creep, grain growth, reconstructive transformations, etc.) are controlled by the mobility of the relevant atomic species. Thus, for a deeper insight into diffusion-related processes we have carried out comprehensive tracer diffusion experiments (¹⁸O, ³⁰Si, ²⁶Al) within the last few years.^{2–4} Recently, we have presented a consistent reaction model for the solid state formation of mullite basing upon the tracer diffusivity data.⁵ The aim of the present paper is to analyse compositional variations of mullite crystals in the light of the diffusivity of the involved atomic species. The change of mullite composition and related segregation of silica or alumina, respectively, is a well known phenomenon that occurs

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during high temperature processing or application. (Throughout this paper the term "segregation" comprises any change of the concentrations of the constituent elements in the mullite grains which leads to subsequent precipitation of alumina (or silica) at the grain boundaries.) The concentration change is due to the fact that the stability field of mullite is sloped towards Al₂O₃ at temperatures higher than 1600 °C.⁶ As a consequence, if polycrystalline mullite with an overall composition of $Al_2O_3/SiO_2 = 3/2$ is fired above $1600 \degree C$ the composition of individual mullite grains gradually becomes richer in Al₂O₃ going along with the formation of silica-rich melt. During cooling down, the melt typically forms a glassy phase and hence the corresponding mullite crystals remain supersaturated in Al₂O₃. A different situation exists in ceramics of mullite/ α -alumina phase assemblages: preliminary investigations revealed that the mullite composition can shift reversibly, balanced by the amount of coexisting α -Al₂O₃.⁷

2. Experimental

Dense polycrystalline mullite with minor amounts of α -Al₂O₃, typically occurring at mullite triple grain junctions was used as starting material. The ceramic sample was fabricated using a coprecipitated mullite precursor fired at 1700 °C and

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Fig. 1. Schematic representation of the alumina-silica phase diagram to illustrate the reaction path after quenching from 1800 to $1600 \,^{\circ}$ C, where $c_{Al_2O_3}$ is the Al₂O₃ concentration. Solid lines illustrate the stability range of mullite in the alumina-silica phase diagram.

was subsequently hot isostatically pressed at 1600 °C. A detailed description of the processing of the material is given elsewhere.⁸ For our experiments the specimens were fired at 1800 °C for 6 h in air thus leading to significant Al₂O₃ enrichment of the mullite grains ($c_{Al_2O_3}^0$ in Fig. 1). After quenching, the high amount of alumina was frozen in. During subsequent annealing at 1600 °C, the mullite composition gradually approaches equilibrium composition ($c_{Al_2O_3}^{\infty}$ in Fig. 1). The average composition was monitored by X-ray diffractometry making use of the fact that the a lattice constant of mullite depends in a linear way on its Al₂O₃ content according to a = 0.00692m + 7.124 with m as the molar content of Al₂O₃ in mullite and a in Å.¹ b and c axes of mullite, on the other hand, are virtually unaffected by the composition in the interesting region. To ensure high accuracy of relative compositional changes due to the 1600 °C firing steps an identical piece of ceramics was used throughout the annealing procedure. The Al₂O₃ content was determined from the separation between (251) and (521) diffraction peaks (Fig. 2). X-ray diffraction was performed using a Siemens D 5000 system equipped with a Cu X-ray tube. The interesting regions of the diffraction pattern were recorded with a step width of 0.01 and 10 s counting time. Average Al₂O₃ contents as a function of annealing history are listed in Table 1. As expected, the alumina content increases with respect to the starting material after firing at 1800 °C and gradu-



Fig. 2. Separation between (2 5 1) and (5 2 1) diffraction peaks (2 Θ , Cu K_{α}) of mullite as a function of composition.

ally decreases by subsequent annealing at 1600 $^{\circ}$ C. The absolute composition, however, is poorer in alumina than anticipated from the phase diagram given by Klug et al.⁶

To derive an analytical solution for the observed kinetics of the average Al_2O_3 concentration we consider a simplified model of spherical grains of equal radius *R*. The diffusion equation then becomes⁹:

$$\frac{\partial u(r,t)}{\partial t} = \tilde{D}_{\text{Al}_2\text{O}_3} \frac{\partial^2 u(r,t)}{\partial r^2} \text{ with } u(r,t) = rc_{\text{Al}_2\text{O}_3}(r,t)$$
(1)

where $\tilde{D}_{Al_2O_3}$ is the chemical diffusion coefficient of Al₂O₃, *r* the distance from the centre of the spherical grains and $c_{Al_2O_3}$ is the concentration of Al₂O₃ in the grains.

The reaction path after quenching from 1800 to 1600 °C is illustrated in Fig. 1. Near the grain boundaries $(r \approx R)$ the equilibrium concentration, $c_{Al_2O_3}^{\infty}$, will be reached rapidly since the mullite/mullite boundaries act as fast diffusion paths for aluminium and oxygen ions moving towards the segregated α -Al₂O₃ grains. Neglecting the (short) transition time we have the following initial and boundary condition:

$$c_{Al_2O_3} = c^0_{Al_2O_3}, \quad t = 0, \quad 0 < r < R$$

$$c_{Al_2O_3} = c^\infty_{Al_2O_3}, \quad t > 0, \quad r = R.$$
(2)

Table 1

Average concentration of Al_2O_3 in the mullite grains of as-received ceramics, ceramics fired at 1800 °C, and ceramics subsequently annealed at 1600 °C for various periods

Status of the mullite ceramics	Annealing time at 1600 °C (h)	Concentration of Al ₂ O ₃ (mol%)	x ^a
As received		62.80	0.3145
Fired at 1800 °C, 6 h		63.70	0.3347
	2	63.55	0.3314
	6	63.15	0.3224
Fired at 1800 °C, 6 h	ved 62.80 $1800 ^\circ\text{C}, 6 \text{h}$ 63.70 2 63.55 $1800 ^\circ\text{C}, 6 \text{h}$ 63.15 $3600 ^\circ\text{C}, 6 \text{h}$ 63.00 sequently 24 62.45 $1 41 1600 ^\circ\text{C}$ 48 62.00	0.3190	
and subsequently	24	62.45	0.3066
annealed at 1600 °C	48	62.00	0.2963
	100	61.90	0.2940

^a In literature mullite composition is often expressed as $Al_{4+2x}Si_{2-2x}O_{10-x}$.



Fig. 3. Normalised radial Al_2O_3 concentration in grains of radius *R* plotted for different t/τ ratios.

An analytical solution of Eq. (1) respecting these conditions is given by⁹:

$$C_{n}(r,t) = \frac{c_{Al_{2}O_{3}}(r,t) - c_{Al_{2}O_{3}}^{\infty}}{c_{Al_{2}O_{3}}^{0} - c_{Al_{2}O_{3}}^{\infty}}$$
$$= \sum_{n=1}^{\infty} (-1)^{n+1} \frac{2\sin(n\pi\xi)}{n\pi\xi} \exp\left(-n^{2}\pi^{2}\frac{t}{\tau}\right) \text{ with } \xi = \frac{r}{R},$$
$$\tau = \frac{R^{2}}{\tilde{D}_{Al_{2}O_{3}}} \tag{3}$$

where $C_n(r, t)$ is the normalised radial Al₂O₃ concentration in grains of radius *R*. In Fig. 3 Eq. (3) is plotted for different t/τ ratios, where τ is a characteristic time constant to achieve the equilibrium concentration, $c_{Al_2O_3}^{\infty}$. To calculate the average Al₂O₃ concentration in the grains we apply the following integration:

$$\bar{c}_{Al_2O_3}(t) = \frac{1}{R} \int_{r=0}^{R} c_{Al_2O_3}(r, t) \,\mathrm{d}r \tag{4}$$

which gives for Eq. (3)

$$\bar{C}_{n}(t) = \frac{\bar{c}_{Al_{2}O_{3}}(t) - c_{Al_{2}O_{3}}^{\infty}}{c_{Al_{2}O_{3}}^{0} - c_{Al_{2}O_{3}}^{\infty}}$$

$$= \sum_{n=1}^{\infty} (-1)^{n+1} \frac{2 \operatorname{Si}(n\pi)}{n\pi} \exp\left(-n^{2} \pi^{2} \frac{t}{\tau}\right)$$
with $\operatorname{Si}(x) \equiv \int_{0}^{x} \frac{\sin(t)}{t} dt$
(5)

where \bar{C}_n is the normalised average Al₂O₃ concentration. To normalise the average Al₂O₃ concentrations compiled in Table 1 we used the following initial concentration and equilibrium concentration of Al₂O₃ in the grains

$$c_{\text{Al}_2\text{O}_3}^0 = 63.7 \,\text{mol}\%; \qquad c_{\text{Al}_2\text{O}_3}^\infty = 61.9 \,\text{mol}\%$$
 (6)

where $c_{Al_2O_3}^0$ was the Al₂O₃ content after firing at 1800 °C for 6 h and $c_{Al_2O_3}^\infty$ is the Al₂O₃ content reached asymptotically after long-term annealing at 1600 °C. Fig. 4 shows a fit of Eq. (5) to our measured normalised average Al₂O₃ concentrations which



Fig. 4. Fit of Eq. (5) to the experimental data for the normalised average Al_2O_3 concentration.



Fig. 5. Microstructure of the mullite ceramics after pre-annealing for 6 h at 1800 $^{\circ}\mathrm{C}$ in air.

yields for the characteristic time constant

$$\tau = \frac{R^2}{\tilde{D}_{\text{Al}_2\text{O}_3}} = 166 \,\text{h} \text{ at } T = 1600\,^{\circ}\text{C}.$$
(7)

The microstructure of the mullite ceramics after pre-annealing for 6 h at 1800 °C in air is shown in Fig. 5. The coarsened microstructure does not change significantly during subsequent annealing at lower temperature (1600 °C). Estimating an average grain radius $R = 5 \,\mu\text{m}$ we can calculate the chemical diffusion coefficient of Al₂O₃ at 1600 °C

$$\tilde{D}_{Al_2O_3} = \frac{R^2}{\tau} = 4.2 \times 10^{-17} \frac{m^2}{s}$$
 at $T = 1600 \,^{\circ}\text{C}.$ (8)

3. Discussion

Tracer diffusivity studies in single crystalline mullite^{2–5} show that silicon is the slowest species compared to oxygen and aluminium. Therefore, we can neglect Si⁴⁺ ion fluxes and suppose that Al₂O₃ is transported via coupled Al³⁺ and O^{2–} ion fluxes through the single crystalline mullite grains.⁵ The two coupled Al³⁺ and O^{2–} ion fluxes can be expressed by a single ambipolar (molecular) flux of Al₂O₃. The associated ambipolar diffusion coefficient, $D_{Al_2O_3}$, of Al_2O_3 is given by (Philibert, ¹⁰ p. 244)

$$\frac{1}{D_{\rm Al_2O_3}} = \frac{2}{D_{\rm Al^{3+}}} + \frac{3}{D_{\rm O^{2-}}} \tag{9}$$

where D_i is the self-diffusion coefficient of the ion i (Al³⁺, O²⁻) which is related to the random thermal motion of the ions. The chemical diffusion coefficient, $\tilde{D}_{Al_2O_3}$, of Al₂O₃ determined from our alumina segregation experiment is related to the ambipolar diffusion coefficient, $D_{Al_2O_3}$, via the following expression (Philibert,¹⁰ p. 204)

$$\tilde{D}_{Al_2O_3} = D_{Al_2O_3}\Phi$$
 with $\Phi = \frac{d\ln(a_{Al_2O_3})}{d\ln(N_{Al_2O_3})}$ (10)

where Φ is the thermodynamic factor, $a_{Al_2O_3}$ is the activity and $N_{Al_2O_3}$ the mole fraction of Al_2O_3 . Correlation factors for self-diffusion are often in the order of 1 (Philibert,¹⁰ p. 98) so that we can calculate the ambipolar diffusion coefficient, $D_{Al_2O_3}$, of Al_2O_3 in a first order approximation from our measured tracer diffusivities.⁵ With the experimentally determined (average) chemical diffusion coefficient, $\tilde{D}_{Al_2O_3}$, of Al_2O_3 one calculates a thermodynamic factor of about 6.5 for the performed alumina segregation experiment

$$\Phi = \frac{D_{\text{Al}_2\text{O}_3}}{D_{\text{Al}_2\text{O}_3}} = 6.5 \text{ at } T = 1600^{\circ} \text{ C.}$$
(11)

To check this value for plausibility, we will derive in the following the thermodynamic factor from literature data. By definition, the differential of the chemical potential of Al_2O_3 is given by¹¹:

$$d\mu_{Al_2O_3} = RT \, d \ln(a_{Al_2O_3})$$

= RT d ln(N_{Al_2O_3}) + RT d ln(\gamma_{Al_2O_3}) (12)

where $\gamma_{Al_2O_3}$ is the activity coefficient of Al₂O₃. Defining a differential of the concentration potential of Al₂O₃

$$d\varphi_{Al_2O_3} = RT \, d \ln(N_{Al_2O_3}) \tag{13}$$

the thermodynamic factor can also be expressed by the ratio of both potential differences

$$\Phi = \frac{\mathrm{d}\mu_{Al_2O_3}}{\mathrm{d}\varphi_{Al_2O_3}}.\tag{14}$$

Eq. (14) will be used for further calculations of the thermodynamic factor. However, as we will see later in the discussion it is, as yet, not possible to calculate an exact value of the thermodynamic factor for our alumina segregation experiment. Therefore, Φ will be estimated using thermodynamic data derived from mullite formation studies performed by Aksay¹² and Aksay and Pask.¹³

3.1. Mullite formation

Aksay¹² and Aksay and Pask¹³ used diffusion couples made from sapphire and aluminium-silicate glasses to study the growth kinetics of mullite as an intermediate phase. The thickness of the mullite layer increased linearly with the square root



Fig. 6. Schematic representation of the mullite formation reaction. Al₂O₃ is transported through the solid mullite layer by means of intrinsic Al³⁺ and O²⁻ ion fluxes and reacts to 3/2-mullite with SiO₂ from the aluminosilicate melt which is in equilibrium with mullite. The chemical potential difference of Al₂O₃ across the mullite layer, $\Delta \mu_{Al_2O_3}$, is compared to the concentration potential difference, $\Delta \varphi_{Al_2O_3}$, of Al₂O₃ at the interfaces (I) and (II).

of time, indicating that the growth mechanism was diffusioncontrolled. A diffusion-controlled mullite formation reaction model was proposed recently⁵ to relate the measured parabolic growth constants to tracer diffusivities. The above defined potential differences of Al_2O_3 across the growing mullite layer are illustrated in Fig. 6. The formation of 3/2-mullite from the oxides

$$2SiO_2 + 3Al_2O_3 = Al_6Si_2O_{13}$$
(15)

is driven by the chemical potential difference of Al_2O_3 across the mullite layer⁵

$$\Delta \mu_{\rm Al_2O_3} = \frac{\Delta_{\rm r} G_{\rm Al_6Si_2O_{13}}^{\circ}}{3} - \frac{2}{3} RT \ln(a_{\rm SiO_2}^{\rm II})$$
(16)

where $a_{\text{SiO}_2}^{\text{II}}$ is the activity of SiO₂ in the aluminium-silicate glass melt and $\Delta_{\text{r}}G_{\text{Al}_6\text{Si}_2\text{O}_{13}}^{\circ}$ is the Gibbs energy of formation of 3/2-mullite from the oxides which can be calculated^{14,15} from the Gibbs energies of formation from the elements, $\Delta_{\text{f}}G_{\text{i}}^{\circ}$

$$\Delta_{\rm r} G^{\circ}_{\rm Al_6 Si_2 O_{13}} = \Delta_{\rm f} G^{\circ}_{\rm Al_6 Si_2 O_{13}} - 3\Delta_{\rm f} G^{\circ}_{\rm Al_2 O_3} - 2\Delta_{\rm f} G^{\circ}_{\rm SiO_2}$$
(17)

In order to calculate an approximate value of the thermodynamic factor we compare the chemical potential difference, $\Delta \mu_{Al_2O_3}$, of Al₂O₃ with the concentration potential difference, $\Delta \varphi_{Al_2O_3}$, of Al₂O₃

$$\Delta \varphi_{\text{Al}_2\text{O}_3} = RT \ln \left(\frac{N_{\text{Al}_2\text{O}_3}^{\text{II}}}{N_{\text{Al}_2\text{O}_3}^{\text{I}}} \right)$$
(18)

where $N_{Al_2O_3}^{I}$ is the mole fraction of Al_2O_3 at the sapphire/mullite interface (I) and $N_{Al_2O_3}^{II}$ is the mole fraction of Al_2O_3 at the mullite/glass interface (II). The resulting thermodynamic factors for the 3/2-mullite formation reaction at different temperatures are compiled in Table 2 using the experimental data of Aksay and Pask.¹³ It turns out that the concentration potential difference is much lower than the chemical potential difference resulting in a thermodynamic factor of about 9. We assume that the scatter of the calculated thermodynamic factors is mainly caused by errors of the measurement of the Al_2O_3 mole fractions at the interfaces.

Table 2 Chemical potential and concentration potential differences of Al₂O₃ and thermodynamic factors calculated by Eqs. (14), (16), and (18)

Experimental data of Aksay et al. ¹³						Calculated values		
$T(^{\circ}C)$	RT (kJ/mol)	$\Delta_r G^{\circ}_{\mathrm{Al}_6\mathrm{Si}_2\mathrm{O}_{13}} (\mathrm{kJ/mol})$	$a_{{ m SiO}_2}^{{ m II}}$	$N_{\mathrm{Al}_2\mathrm{O}_3}^{\mathrm{II}}(\mathrm{mol}\%)$	$N_{\mathrm{Al}_2\mathrm{O}_3}^{\mathrm{I}}(\mathrm{mol}\%)$	$\Delta \mu_{Al_2O_3}$ (kJ/mol)	$\Delta \varphi_{\rm Al_2O_3}$ (kJ/mol)	Φ
1678	16.2	-33.8	0.93	58.6	62.7	-10.5	-1.10	9.5
1753	16.8	-35.3	0.85	58.6	62.7	-9.91	-1.14	8.7
1813	17.3	-36.5	0.70	59.9	62.7	-7.96	-0.79	10.1

The activity of SiO₂ at phase boundary II, $a_{SiO_2}^{II}$, was approximated by the mole fraction of SiO₂ in the aluminosilicate melt. The Gibbs energy change, $\Delta_r G_{Al_6Si_2O_{13}}^{\circ}$, for the formation of 3/2-mullite from the oxides was calculated with Eq. (17) using tabulated thermochemical data.¹⁴

3.2. Alumina segregation

Based on the results for the mullite formation experiment we are now able to estimate the thermodynamic factor of our alumina segregation experiment. During our experiment the Al₂O₃-supersaturated mullite grains deplete in Al₂O₃ in favour of the coexisting α -Al₂O₃ phase. The driving force for the segregation of Al₂O₃ at 1600 °C is the chemical potential gradient of Al₂O₃ between grain centers and grain boundaries. The gradient is maximum at the beginning of the annealing experiment and will approach zero asymptotically after long annealing times. The maximum concentration potential difference can be calculated from the initial concentration and the equilibrium concentration of Al₂O₃ in the grains (see Eq. (6))

$$\Delta \varphi_{\text{Al}_2\text{O}_3}^{\text{max}} = RT \ln \left(\frac{c_{\text{Al}_2\text{O}_3}^{\infty}}{c_{\text{Al}_2\text{O}_3}^{0}} \right) = -0.45 \,\text{kJ/mol} \tag{19}$$

Obviously, this value is about half the value calculated for the mullite formation reaction (see Table 2). This means, the thermodynamic factor is about 9 (mean value of Table 2) provided the amount of the Gibbs energy of the Al₂O₃ segregation from the mullite grains and its precipitation at the grain boundaries

$$Al_{6}Si_{2}O_{13} = Al_{6-2\delta}Si_{2}O_{13-3\delta} + \delta Al_{2}O_{3}$$
(20)

is about a factor of two lower than the Gibbs energy of the mullite formation from the oxides (Eq. (15)). As the value of the exact Gibbs energy is not known for the segregation reaction (20) one can assume that the Gibbs energy of this reaction is significantly lower (by a factor of the order $\delta/3 \approx 10^{-2}$) than the Gibbs energy of the formation reaction. Therefore, it can be expected that a thermodynamic factor of 9 is the upper limit (in the given temperature range). Thus, we have a criterion to check our experimental data for self-consistence.

A thermodynamic factor of 6.5 was calculated for the performed alumina segregation experiment derived from the ratio of ambipolar to chemical diffusivity data (see Eq. (11)). This value is indeed lower than the upper limit of 9, so that our data sets of two different independent experiments (the former tracer experiments and the recent segregation experiment) are consistent.

4. Summary

We have studied the kinetics of segregation of Al_2O_3 from alumina-rich mullite grains at 1600 °C. Previous tracer diffusiv-

ity studies showed that the diffusivity of 30 Si in single crystalline mullite is much lower compared to the diffusivities of 26 Al and 18 O, which are almost equal.⁵ Because of this observation we assume that the segregation kinetics of Al₂O₃ from the mullite grains is controlled by the diffusivities of aluminium ions and oxygen ions which can be expressed by an ambipolar diffusion coefficient of Al₂O₃ (see Eq. (9)).

A simplified model of spherical grains of equal radius was applied to derive an analytical solution for the kinetics of the segregation of Al₂O₃ from the mullite grains towards the respective grain boundaries. This model allowed to evaluate a chemical diffusion coefficient of Al₂O₃ from the experimental kinetics data. Neglecting correlation effects for the tracer diffusion we calculated the ambipolar diffusion coefficient of Al₂O₃ in a first order approximation by our measured tracer diffusivities.⁵ Comparing the ambipolar diffusion coefficient and the chemical diffusion coefficient of Al₂O₃ a thermodynamic factor of 6.5 was calculated for our experimental conditions. On the basis of literature data we could further demonstrate that thermodynamic factors below 9 are plausible, thus supporting the assumption that only the (fairly similar) mobilities of Al^{3+} and O^{2-} ions control the segregation of alumina from alumina-rich mullite grains. The simplified spherical grain model seems to be fully sufficient for the mathematical description of the diffusion conditions in our segregation experiment.

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